

MANGOLD

LIQUIDITY EXAMINATION FORM – PRIVATE INDIVIDUALS

According to the law (2017:630) on measures against money laundering and terrorist financing, Mangold shall endeavour to know their clients better in order to make an assessment of the risk of being exploited for the purposes of money laundering or terrorist financing. We therefore need to know certain information about you in order to understand the purpose of this business relationship and your transactions. We therefore ask that you answer the questions below. This information is mandatory.

Client (first name, surname)	PIN/TIN

Are you performing the transaction on behalf of someone else?

Yes No

If yes; on behalf of whom is the transaction performed?

.....

In case the transaction is performed on behalf of someone else, Mangold has a legal obligation to gather knowledge of that person – kindly contact Mangold and we will supply you with the relevant forms.

Are you a Swedish citizen?

Yes No

Are you a citizen of any country other than Sweden?

Yes No

If yes, which country?

TIN:

Do you have any other connection to any country other than where you are domiciled? (e.g. paying taxes, owning a company or property in country)

Yes No

If yes, please explain which country and the nature of the connection:

.....

Employment status

Employed Self Employed Unemployed Retired Sick Leave Student

Other:

How much do you have saved/invested in securities and money in all financial institutions?

Less than 100 000 SEK 100 001–500 000 SEK 500 001–1 000 000 SEK 1 000 001–5 000 000 SEK
 5.000 001–10 000 000 SEK More than 10 000 000 SEK

What is your regular monthly income?

Less than 30 000 SEK 30 000-50 000 SEK 50 000-100 000 SEK 100 001-300 000 SEK
 More than 300 000 SEK

MANGOLD FONDKOMMISSION AB
STOCKHOLM | MALMÖ

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ORGANISATIONSNUMMER: 556585-1267

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What is the source of your assets? (Money and securities)

- Salary Inheritance Pension Profit from Securities Trading
 Savings Lottery/Gaming Insurance Payout Sale of Property

Other:

Where does the transferred funds originate?

- Swedish bank/institute Swedish insurance company
 Non-Swedish bank/institute* Non-Swedish insurance company* *Country:.....

Please state the name of the bank/financial institute/insurance company from where the transfer will be made:

.....

Do you have income with a source in another country than Sweden?

- Yes Please state which country/countries:.....
 No

Are you or any of your relatives/family members or someone you have or have had a close business relation with a "politically exposed person*" according to the law (2017:630) against money laundering and terrorist financing?

- Yes (you need to fill in the "Politically Exposed Persons Form") No

* A politically exposed person is a person who currently has or who has recently had a prominent public function in a state or a function in the governance of an international organization. Examples of such prominent functions are members of Parliament, ambassadors, high officers of the armed forces and members of a state-owned corporation's board of directors. If you are uncertain, please contact Mangold.

Are you the Beneficial Owner* of a legal entity that is a customer of Mangold?

- Yes (you will need to state the Corporate Identity Number) No

Corporate Identity Number:

.....

* Beneficial Owner means a natural person that ultimately controls a legal entity by ownership, contract or similar. If you are not sure, please contact Mangold

Signature

I hereby acknowledge that all questions are answered correctly.

Place/ Date	Signature	Name in block letters

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