

ASSESSMENT OF APPROPRIATENESS

Client

Name (surname, first name) / Name of company (full)		PIN/TIN/CIN	
Street address, box or equivalent (registered address)			
Postal code	Postal district	Country (other than Sweden)	
Telephone		Mobile phone	
E-mail			

To facilitate the assessment process, the financial instruments have been divided into two classes; non-complex and complex

Non-complex:

- Fixed-income instruments, such as money market instruments, as well as bonds and other debt instruments without any derivatives built in;
- Mutual funds or foreign UCITS;
- Shares listed on a regulated exchange (e.g. Nasdaq OMX Nordic and NGM Equity) or traded in a multilateral trading facility (MTF) (e.g. NGM Nordic MTF Stockholm, First North, AktieTorget) also subscription rights on these shares; and
- Non-complex capital protected investments such as convertible debt certificates (not including inversed convertibles).

Complex

- Other financial instrument, such as: Shares not traded on a regulated market or shares traded in a multilateral trading facility (MTF) and their subscription rights;
- Funds other than mutual funds, in other words exchange traded funds, special funds and other funds;
- Complex capital protected investments e.g. inversed convertibles, index/equity-linked notes and other structured products;
- Derivatives, including both derivatives where the loss cannot exceed the acquisition cost (for example buying call and put options, warrants etc.) and derivatives where the loss can exceed the acquisition cost or the compensation received for the instrument (for example the issuance of options and future);
- All structured products; and
- Other instruments like OTC-derivatives etc

A prerequisite for trading in almost all available financial instruments through Mangold, is that all financial instruments both non-complex and complex financial instruments are assessed appropriate for you or that you, despite this not being the case, express that you still want the opportunity to trade with all those financial instruments.

Please answer the following questions for the appropriateness assessment

- | | | |
|--|-----|----|
| 1. Have you (yourself or through an authorised representative) during the last five years, more than five times, traded in non-complex financial instruments? | Yes | No |
| 2. Have you (yourself or through an authorised representative) during the last five years, more than five times, traded in complex financial instruments? | Yes | No |
| 3. Have you gone through an appropriateness assessment for non-complex financial instruments with the result that they were considered appropriate for you? | Yes | No |
| 4. Have you gone through an appropriateness assessment for complex financial instruments with the result that they were considered appropriate for you? | Yes | No |
| 5. Do you have an education and/or have or had an occupation that is directly relevant for the understanding of the risk associated with non-complex and complex financial instruments and how the market for financial instruments works? | Yes | No |
| 6. Have you in any other way received knowledge of and/or have experience in non-complex and complex financial instruments so that you understand the risk associated with the financial instruments? | Yes | No |

If you have not answered "Yes" to any of the questions above (in other words the questions have not been answered or you have answered "No" to all of them) Mangold will consider the financial instruments to not be appropriate for you, alternatively Mangold has not been able to perform the assessment.

Do you still want to be able to trade in these financial instruments?

(If "Yes", then please note what has been stated above regarding the importance of consulting your own adviser before each transaction.)

Yes No

CONFIRMATION AND SIGNATURES

I undertake the responsibility to inform Mangold of any significant changes to the information provided above.

I have understood that the information will be handled with confidentiality in accordance with the Securities Market Act (2007:528).

I am aware that Mangold handles personal information concerning its clients, information that may have been provided by the client or been received from other sources. I approve, in accordance with the Personal Data Act (Sw. Personuppgiftslagen (1998:204), to such handling and to Mangold providing other companies within the Mangold group and to banks, investment firms (Sw. Värdepappersbolag), authorities and others if this enables Mangold to provide its services and offers that I have requested or signed up for. Personal information may also be shared when Mangold has a legal obligation to provide such information.

I confirm that I have taken part of and understand the "Information about Mangold" and the "Information regarding characteristics and risks relating to financial instruments" and – should I want to trade with options and futures – that I have been provided with and understand "Information regarding trading in options, futures and other derivative instruments".

I am aware that Mangold may require a credit report in connection with the opening of my custody account and/or investment savings account.

Place/Date	Signature 1	Name in block letters
Place/Date	Signature 2	Namnförtydligande

To be completed by Mangold Fondkommission AB

The above information was completed:

With assistance from Mangold Date

At a personal meeting with the adviser Date

By the client

Client classification:

The client is classified as a: Non-professional Professional Equal counterparty

Client suitability for Custody Account and/or ISK (Investment Savings Account)

Both non-complex and complex financial instruments are assessed to be appropriate for the client.

All non-complex, but not complex, financial instruments are assessed to be appropriate for the client.

Neither non-complex nor complex financial instruments are assessed to be appropriate for the client.

It is not possible to assess if the financial instruments are appropriate for the client, since the client has provided insufficient information.

The client has been informed that neither non-complex nor complex financial instruments are assessed to be appropriate for the client, or alternatively, that it is not possible to perform an appropriateness assessment. Nonetheless, the client has requested that a custody account be opened for him/her and that he/she be allowed to trade in both non-complex and complex financial instruments.

Place/Date	Signature adviser	Name in block letters
------------	-------------------	-----------------------